

01/28/02

PTO/SB/05 (12/97)

Please type a plus sign (+) inside this box → ☐

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

13048:12

First Named Inventor or Application Identifier

Mike A. Primm

Title: Method and System for a Set of Network Appliances Which Can Be Connected to Provide Enhanced Collaboration, Scalability and Reliability

Express Mail Label No.

EV 011 384 880 US

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages] 41
(preferred arrangement set forth below)
Descriptive title of the Invention
Background of the Invention
Brief Summary of the Invention
Brief Description of the Drawings (if filed)
Detailed Description
Claim(s)
Abstract of the Disclosure
4. ☒ Oath or Declaration [Total Pages] 2
(including Supplemental Declaration)
a. ☐ Unexecuted
b. ☐ Copy from a prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
i. ☐ DELETION OF INVENTOR(S)
signed statement attached deleting
inventor(s) named in the prior application
See 37 CFR §1.63(d)(2) and 1.33(b)/
5. ☐ Incorporation by Reference (useable if Box 4B is checked)
The entire disclosure of the prior application, from which a copy of
the oath or declaration is supplied under Box 4b is considered
as being part of the disclosure of the accompanying application and
is hereby incorporated by referenced therein.
6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identical of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & Documents(s))
9. ☐ 37 CFR §3 73(b) Statement (when there is an assignee)
☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/ PTO-1449
Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) (PTO/SB/09-12)*
☐ Statement filed in prior application
Status still proper and desired
*A new statement is required to be entitled to pay small entity fees,
except where one has been filed in a prior application and is being relied
upon.
15. ☐ Certified Copy of Priority Document(s)
if foreign priority is claimed
16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
Prior application information:

18. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

22,444



Correspondence address below

NAME	Hughes & Luce, LLP		
ADDRESS	1717 Main Street, Suite 2800		
CITY	Dallas	STATE	Texas
COUNTRY	U.S.A.	TELEPHONE	214-939-5500
		FAX	214-939-6100
Name (Print/Type)	John Schell	Registration No. (Attorney/Agent)	P-50776
Signature		Date	1/25/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL FOR FY 2002

Patent Fees are subject to annual revision.

☐ Applicant claims small entity status.

See 37 CFR 1.27

Total Amount of Payment \$ 553.00

Application Number Not Yet Assigned

Filing Date January 25, 2002

First Named Inventor Mike A. Primm

Examiner Name Not Yet Assigned

Group / Art Unit Not Yet Assigned

Attorney Docket No. 13048:12

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

Deposit Account:

Deposit Account No.: 50-1343

Deposit Account Name: Hughes & Luce, LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge any fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below (except for the filing date) to be above identified deposit account.

FEE CALCULATION

1. Basic Filing Fee

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility Filing Fee	\$ 370.00
106	330	206	165	Design Filing Fee	\$
107	510	207	255	Plant Filing Fee	\$
108	740	208	370	Reissue Filing Fee	\$
114	160	214	80	Provisional Filing Fee	\$
Subtotal (1)					\$ 370.00

2. Extra Claim Fees For Utility and Reissue

Claims		Extra		Fee (below)		Fee Paid	
Total	31 -20** =	11	x	\$ 18/9	=	\$ 99.00	
Indep.	5 - 3** =	2	x	\$ 84/42	=	\$ 84.00	
Multiple Dependent						\$	
Large Entity		Small Entity		Fee Description			
Fee Code	Fee (\$)	Fee Code	Fee (\$)				
103	18	203	9	Claims in excess of 20			
102	84	202	42	Independent claims in excess of 3			
104	280	204	140	Multiple dependent claim, if not paid			
109	84	209	42	**Reissue independent claims over original patent			
110	18	210	9	**Reissue claims in excess of 20 and over original patent			
				Subtotal (2)		\$ 183.00	

**or number previously paid, if greater. For Reissues, see below

FEE CALCULATION (continued)

3. Additional Fees

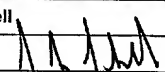
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	
147	2,520	147	2,520	Request for Reexamination	\$
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	\$
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	\$
115	110	215	55	Extension for reply within first month	\$
116	400	216	200	Extension for reply within second month	\$
117	920	217	460	Extension for reply within third month	\$
118	1,440	218	720	Extension for reply within fourth month	\$
128	1,960	228	980	Extension for reply within fifth month	\$
119	320	219	160	Notice of Appeal	\$
120	320	220	160	Filing a brief in support of an appeal	\$
121	280	221	140	Request for oral hearing	\$
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	\$
141	1,280	241	640	Petition to revive - unintentional	\$
142	1,280	242	640	Utility issue fee (or reissue)	\$
143	460	243	230	Design issue fee	\$
144	620	244	310	Plant issue fee	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional applications	\$
126	180	126	180	Submission of Information Disclosure Statement	\$
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	\$
179	740	279	370	Request for Continued Examination (RCE)	\$
169	900	169	900	Request for expedited examination of a design application	\$

Other fee (specify): \$

* Reduced by Basic Filing Fee Paid

Subtotal (3) \$

SUBMITTED BY

Name (Print/Type)	John Schell	Registration No. P--50776	Telephone	214-939-5500
Signature			Date	1-25-02